


<div><div>Sushil Financial Services Private Limited Regd. Office: 12 Homji Street, Fort, Mumbai- 400001 Tel.: 022 40936000 SEBI No.: INZ000165135 DP NO: IN-DP-504-2020 DP ID: 028900 CIN:U67120MH1991PTC063438 www.sushilfinance.com info@sushilfinance.com</div></div>		Date	Application No.	
		BO ID*	12028900	Client Code*

Know Your Client (KYC) Applica on Form (For Individuals)
Please fill the form in **ENGLISH** and in **BLOCK** letters. Fields marked * are mandatory.
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also
#For account reactivation.

Application Type*
☐Modification KYC ☐REKYC# ☐CKYC

KYC Mode*
☒Normal

I/We request you to carry out the modification in my/our Demat & Trading A/c as per following: Please Tick (✓)
☐Correspondence Address ☐Permanent Address ☐Email ID ☐Mobile Number ☐Bank Details ☐Demat Details Other _____
I/We request you to carry out change of Address/Email-id/Mobile number in KRA.

1. Identity Details

Sole/First Holder Name* _____ <small>(same as ID proof)</small>		Photo is mandatory in case of change in address Pl. Sign Across the photo
Fathers/Spouse's Name* _____		
PAN* _____	DOB _____	
Gender* <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender		
Nationality* <input type="checkbox"/> Indian <input type="checkbox"/> Other _____		Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual.
Marital Status* <input type="checkbox"/> Single <input type="checkbox"/> Married		
Residential Status* <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin*		

Proof of Identity (POI) and Proof of Address (POA) (Please tick)
☐ A — Aadhaar Card XXXX XXXX _ _ _ _ (QR Code must be clear on proof)
☐ B — Passport Number _____ (Expiry Date) _____ ☐ C — Voter ID Card _____
☐ D —Driving License _____ (Expiry Date) _____ ☐ E —NREGA Job Card _____
☐ F —NPR _____ ☐ Z —Others _____ Identification No.: _____ (any document notified by Central Government)

2. Address Details*

Existing Details		New Details
A. Correspondence Address		
B. Permanent Address		
C. Both		
Address Type*	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified

3. Contact Details (in CAPITAL)

Existing Details		New Details
Email ID*		
Mobile*		

Above Email ID is registered in the name of Mr. / Mrs. _____
and related to me as ☐Self ☐Spouse ☐Dependent Children ☐Dependent Parents

Above mobile number is registered in the name of Mr. / Mrs. _____
and related to me as ☐Self ☐Spouse ☐Dependent Children ☐Dependent Parents

4. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I agree that any communication related to my trading and demat account should be sent to the above mentioned Mobile Number and E-mail id. I hereby consent to receiving information from Central KYC registry/ KRA through SMS/ Email on the above registered number/ email address. I am also aware that for Aadhar OVD based KYC, my KYC request shall be validated against Aadhar details. I hereby consent to sharing my masked Aadhar card with readable QR code or my Aadhar XML/ Digilocker XML file, along with passcode and as applicable with KRA and other intermediaries with whom I have a business relationship for KYC purposes only. I, hereby give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYCR Registry.

DATE: _____ (DD-MM-YYYY) PLACE: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

5. For Office Use Only

In-Person Verification (IPV) & KYC carried out by*		Intermediary Details*
Emp. Name _____	Emp. Code _____	AMC / Intermediary Name : Sushil Financial Services Private Limited
Emp. Designation _____		<input type="checkbox"/> Self certified document copies received (OVD)
Name of Organization _____		<input type="checkbox"/> True Copies of documents received (Attested)
Emp. Signature _____	KYC / IPV Date _____	

Page 1 of 2

6. I understand that as per the guidelines prescribed by the SEBI, the client is required to share their financial information to the stock broker on periodic basis. In view of these guidelines, please find my financial details as mentioned below.

A. Income Range (Per Annum); (Tick where applicable)

☐ Below Rs.1 Lac ☐ Rs.1Lac to Rs.5Lacs ☐ Rs.5Lacs to Rs.10Lacs ☐ Rs.10Lacs to Rs.25Lacs ☐ More than Rs.25Lacs

OR NETWORTH Rs. _____ **as on 31st March 20** ____

B. Attached any of the following documents for trading in derivatives and currency derivative segment.

☐ Copy of Demat A/c Holding statement ☐ Bank A/c statement for last 6 months ☐ Salary Slips ☐ Copy of Income Tax Returns/Form 16 ☐ Balance Sheet ☐ Any other relevant documents substantiating owner assests

7. Bank Details (Please attach self attested copy of pre-printed Cheque leaf or Passbook or Statement with bank stamp)

A/c Type	Existing	New
Saving/Current	Bank A/c Number	Bank A/c Number
<input type="checkbox"/> Default <input type="checkbox"/> Optional	Bank Account No	Bank Account No
	MICR & IFSC Code	MICR & IFSC Code
	MICR	IFSC
Bank Name & Address		

8. Demat Details (Please attach self attested CMR copy duly stamped by your DP)

<input type="checkbox"/> Default	<input type="checkbox"/> CDSL																
<input type="checkbox"/> Optional	<input type="checkbox"/> NSDL	I	N														
DP Name & Address																	

9. I/We would like to receive Contract Notes, bills, Statement of funds and securities, Electronic Transaction–cum–holding Statement, Annual Report etc.

☐ Physical ☐ Electronic (**Preferred**)

10. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I agree that any communication related to my trading and demat account should be sent to the above mentioned Mobile Number and E-mail id. I hereby consent to receiving information from Central KYC registry/ KRA through SMS/ Email on the above registered number/ email address. I am also aware that for Aadhar OVD based KYC, my KYC request shall be validated against Aadhar details. I hereby consent to sharing my masked Aadhar card with readable QR code or my Aadhar XML/ Digilocker XML file, along with passcode and as applicable with KRA and other intermediaries with whom I have a business relationship for KYC purposes only. I, hereby give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYCR Registry.

DATE: _____ (DD-MM-YYYY) PLACE: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

11. For Office Use Only

In-Person Verification (IPV) & KYC carried out by*

Intermediary Details*

Emp. Name _____ Emp. Code _____
 Emp. Designation _____
 Name of Organization _____
 Emp. Signature _____ KYC / IPV Date _____

AMC / Intermediary Name :

Sushil Financial Services Private Limited

☐ Self certified document copies received (OVD)
☐ True Copies of documents received (Attested)