

Know Your Client (KYC)
ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING IN CASH SEGMENT
 Please fill the form in ENGLISH and in BLOCK letters, Fields marked * are mandatory

SARAL FORM

A. Identity Details

Name of the Applicant* _____
(same as ID proof)

Fathers/Spouse's Name* _____

PAN* _____ (Please enclosed a duly attested copy of PAN)

Aadhaar Number, if any _____

Date of Birth* _____


Gender* Male Female Transgender

Nationality* Indian Other _____

Marital Status* Single Married

Specify the proof of Identity submitted _____

Please affix
recent
passport size
picture

**F1
XX**


Please Sign
Across the photo


B. Address Details* "A" Correspondence / Current Local Address

Line 1*			
Line 2			
Line 3			
City/Town/Village*	District*	Pin Code*	
State*	Country*		
Telephone No.	Residence	Office	
Mobile No.	Email Id		

"B" Permanent Address of applicant (If different from above Address)

Line 1*			
Line 2			
Line 3			
City/Town/Village*	District*	Pin Code*	
State*	Country*		

Applicant Declaration

<p>I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I agree that any communication related to my trading and demat account should be sent to the above mentioned Mobile Number and E-mail id. I hereby consent to receiving information from Central KYC registry/ KRA through SMS/ Email on the above registered number/email address.</p> <p>I am also aware that for Aadhar OVD based KYC, my KYC request shall be validated against Aadhar details. I hereby consent to sharing my masked Aadhar card with readable QR code or my Aadhar XML/ Digilocker XML file, along with passcode and as applicable with KRA and other intermediaries with whom I have a business relationship for KYC purposes only. I, hereby give my consent to download my KYC Records from the Central KYC Registry (CKYCR) / KRA, only for the purpose of verification of my identity and address from the database of CKYCR Registry / KRA.</p> <p>DATE: _____ (DD-MM-YYYY) PLACE: _____</p>	<p>Applicant Signature</p> <p align="center">X Sign Here</p> <p>F2 XX </p>
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<p>AMC / Intermediary Name : Sushil Financial Services Private Limited</p> <p><input type="checkbox"/> Originals verified and Self-Attested Document copies received</p> <p>Date: _____</p>	<p>Name & Signature of the Authorised Signatory Seal/Stamp of the intermediary</p>
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1. Bank Details Bank Account Type : Savings Current

Bank Name : _____

Bank Account No. _____ Account Opening Date

D	D	/	M	M	/	Y	Y	Y	Y
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IFSC Code (For NEFT / RTGS) : _____ MICR Code _____

Bank Branch Address _____

City _____ State _____ Pin Code _____

Please Ensure

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank.
- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

2. Demat account details (In case the client does not have DP account, this column may be crossed)

CDSL Client / B.O. ID _____
 DP ID _____ DP Name _____

NSDL Client / B.O. ID _____ DP ID **I N** _____
 DP Name _____

3. Whether DP account is also to be opened with the same intermediary Yes No

4. Trading Preferences: Please sign the relevant boxes where you wish to trade.

NSE		BSE	
F3 XX 	X Sign Here	F4 XX 	X Sign Here

5. Mode of receiving Contract Note / Statement of Account: Physical / Electronic (Please indicate your preference) _____

6. Standing instructions to receive credits automatically into my BO account Yes No

7. Nomination details (Name, PAN, Address and Phone no of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)

I have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Signature of the Applicant	F5 XX X Sign Here	Date	(dd/mm/yyyy)
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FOR OFFICE USE ONLY

Beneficiary Name			
Registration Date	Branch Code		UCC Alloted
CDSL DP ID	1 2 0 2 8 9 0 0		CLIENT ID

IN PERSON VERIFICATION

Particular	Documents Verified with Originals	Client Interviewed By	In-Person Verification Done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

Signature of the Authorised Signatory

Date: _____

Seal / Stamp of the Stock Broker

NOTE: This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.